



Docket No. 5000-5306

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yoshifumi KATO

U.S. Serial No.: 10/574,371

Group Art Unit: TBA

International
Application No.: PCT/JP2004/010631

Filed: March 31, 2006

Examiner: TBA

For: ELECTRIC FIELD LIGHT EMITTING ELEMENT

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R.

§§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application.

The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. ☒ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed.
2. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.
3. ☐ Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No. _____, filed _____.
4. ☒ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of a national application other than a CPA; or

- ☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or
- ☒ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or
- ☐ 37 C.F.R. §1.97(b)(4) before the mailing date of a first office action after the filing of an RCE under §1.114.
5. ☐ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):
- ☐ A check in the amount of \$_____ is enclosed in payment of the fee.
- ☐ Charge the fee to Deposit Account No. _____, Order No. _____. A
DUPLICATE COPY OF THIS SHEET IS ATTACHED.
7. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
- b. the fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 below.
8. ☐ This Information Disclosure Statement is being filed in compliance with:
- a. ☐ 37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h);
- b. ☐ 37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and is accompanied by the

attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h).

- c. ☐ The fee due under 37 C.F.R. §§1.17(h) is paid as set forth in paragraph 11 below.
9. ☐ I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
10. ☐ This document is accompanied by ☐ a Search Report ☐ Communication which was cited in a corresponding ☐ PCT or ☐ Foreign counterpart application
11. ☐ A check in the amount of \$ _____ is enclosed in payment of the fees due under 37 C.F.R. §§1.17(h) and 1.17(p).
- ☐ Charge the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Account No. _____, Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5000-5306. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

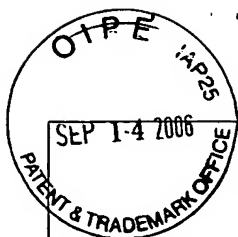
Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: September 11, 2006

By: Steven F. Meyer
Steven F. Meyer
Registration No. 35,613

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
3 World Financial Center
New York, NY 10281-2101
(212) 415-8700 Telephone
(212) 415-8701 Facsimile



SEP 14 2006

FORM PTO-1449

INFORMATION DISCLOSURE CITATION

Attorney Docket:
5000-5306

Serial No.:
10/574,371

Applicant:
Yoshifumi KATO, et al.

Filing Date:
March 31, 2006

Group Art Unit:
TBA

U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Issue Date	Name	Class	Sub-Class	Filing Date

FOREIGN PATENT DOCUMENTS

Examiner Initial	Patent Number	Publication Date	Country	Class	Sub-Class	Translation
	JP 04-082197	03/16/92	Japan	H05B	33/26	English Abstract
	JP 05-020294	03/12/93	Japan	H05B	33/04	Translated Excerpt
	JP 05-226076	09/03/93	Japan	H05B	33/26	English Abstract
	JP 05-307997	11/19/93	Japan	H05B	33/26	English Abstract
	JP 05-315073	11/26/93	Japan	H05B	33/06	English Abstract
	JP 09-306669	11/28/97	Japan	H05B	33/10	English Abstract
	JP 10-050481	02/20/98	Japan	H05B	33/22	English Abstract
	JP 11-040362	02/12/99	Japan	H05B	33/14	English Abstract
	JP 11-260560	09/24/99	Japan	H05B	33/22	English Abstract
	JP 11-273869	10/08/99	Japan	H05B	33/22	English Abstract
	JP 11-339960	12/10/99	Japan	H05B	33/14	English Abstract
	JP 2000-082588	03/21/00	Japan	H05B	33/26	English Abstract
	JP 2000-173771	06/23/00	Japan	H05B	33/12	English Abstract
	JP 2000-231985	08/22/00	Japan	H05B	33/02	English Abstract
	JP 2000-252063	09/14/00	Japan	H05B	33/12	English Abstract
	JP 2002-318556	10/31/02	Japan	G09F	9/30	English Abstract
	JP 2004-335319	11/25/04	Japan	H05B	33/14	English Abstract
						English Abstract

OTHER DOCUMENTS (Including Author, Title, Date, etc.)

	English Translation of International Preliminary Examination Report Office Action issued in Japanese counterpart application dated July 6, 2006 (7 pages).
	MIYATA, Seizo "Organic EL Elements and Front of Their Industrialization", NTS Co., Ltd., 11/30/98, pp. 46-47.

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609.
Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to Applicant.



TJW

Docket No. 5000-5306

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yoshifumi KATO

U.S. Serial No.: 10/574,371

Group Art Unit: TBA

International
Application No.: PCT/JP2004/010631

Filed: March 31, 2006

Examiner: TBA

For: ELECTRIC FIELD LIGHT EMITTING ELEMENT

CERTIFICATE OF MAILING (37 C.F.R. §1.8(A))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

1. Information Disclosure Statement (3 pages, and duplicate);
2. Form PTO-1449 (1 page);
3. References Cited Therein (18 of 18);
4. Return Receipt Postcard;

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: September 11, 2006

By:



Steven F. Meyer

Registration No. 35,613

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
3 World Financial Center
New York, NY 10281-2101
(212) 415-8700 Telephone
(212) 415-8701 Facsimile